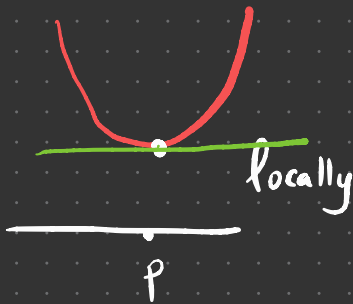


HW 8 Due Tuesday

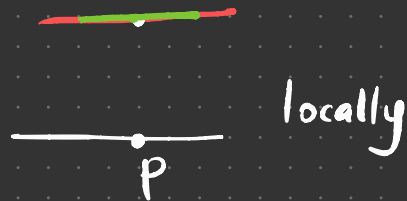
Midterm 3 April 1 Thursday

Goal: Find local minimums / maximums of functions.

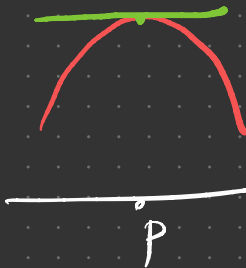
Local Minimum:



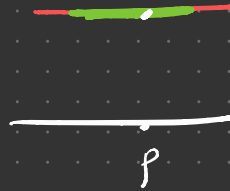
$$f'(p) = 0$$



Local Maximum:



$$f'(p) = 0$$

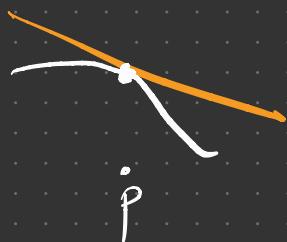


Def. A point  $p$  is said to be a critical point if  $f'(p) = 0$ .

We observed that if  $p$  is a local minimum or local maximum, then  $p$  is a critical point, i.e.,  $f'(p) = 0$ .

Ques. Is the converse true? If  $p$  is a critical point, i.e.,  $f'(p) = 0$ , does it imply that  $p$  is a local minimum or local maximum?

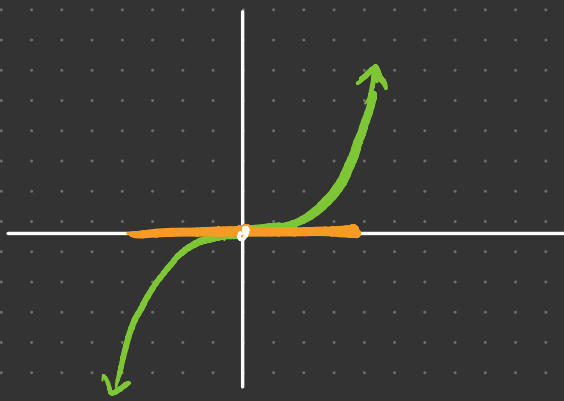
Ans. No.



$$f'(p) < 0$$

Not a critical point because  $f'(p) \neq 0$ .

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$$f(x) = x^3$$

$$f(x) = x^3$$

$$f'(x) = 3x^2$$

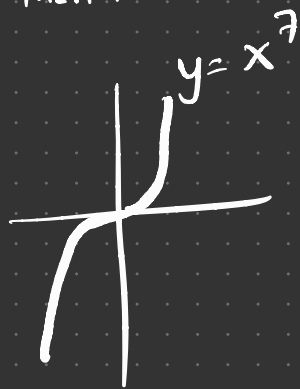
$$f'(0) = 3 \cdot 0^2 = 0$$

0 is a critical point of this function.

But 0 is neither a local minimum nor a local maximum.

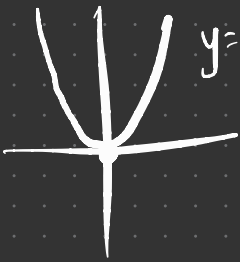
$$f(x) = x^n$$

$n$  is odd and greater than 1.

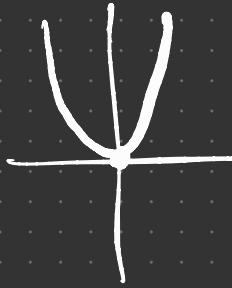


$$f(x) = x^n$$

$n$  even

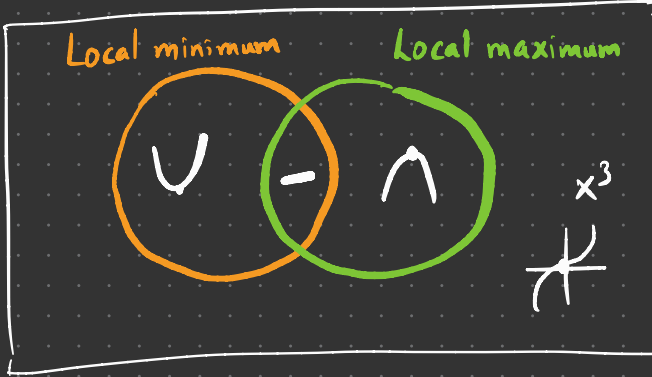


$$y = x^2$$



$$y = x^4$$

local minimum  
at 0



Critical points  
 $f'(p) = 0$

—

$\dot{p}$

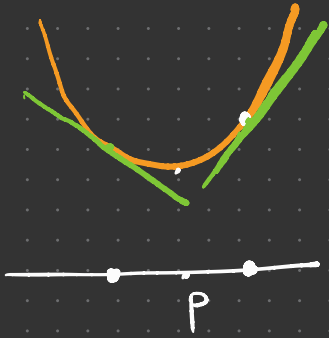
## Strategy to find local minimum / maximum:

1. Find critical points, i.e., all points where the derivative is 0.
2. For each critical point use
  1. First derivative testOR
  2. Second derivative testto find whether they are local minimum, local maximum or neither.

# First derivative test

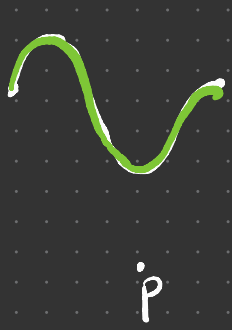
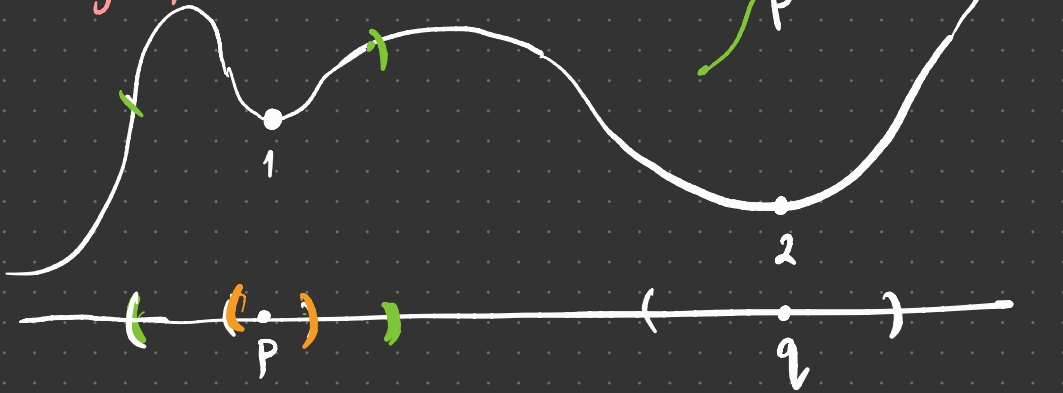
Local minimum:

$f' < 0$   
for points  
on the  
left of  
 $p$



$f' > 0$  for points  
on the right of  $p$

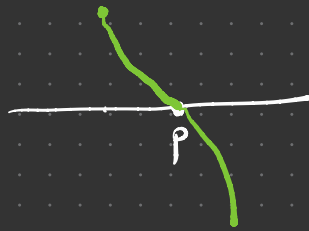
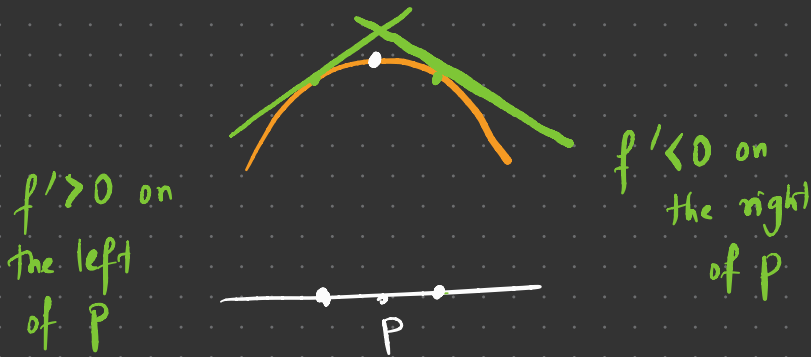
$f'$  changes from negative to positive at  $p$ .



← not a valley



Local maximum:



$f'$  changes from positive to negative at  $P$ .

## FIRST DERIVATIVE TEST

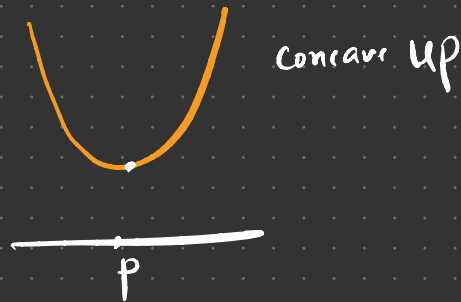
Suppose  $p$  is a critical point.

- If  $f'$  changes from negative to positive at  $P$ , then  $p$  is a local minimum.
- If  $f'$  changes from positive to negative at  $P$ , then  $p$  is a local maximum.



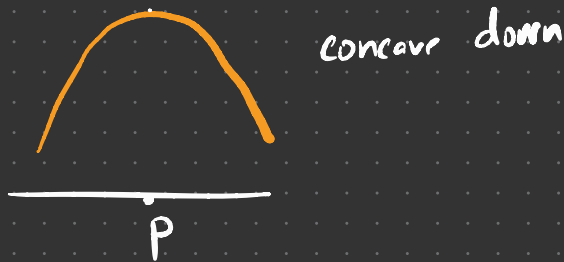
## Second Derivative Test

Local minimum:



A graph is concave up  $\iff f'' > 0$  on the interval  
on an interval

Local maximum:



A graph is concave down  $\iff f'' < 0$  on the interval.  
on an interval

## Second Derivative Test

$f''(p) > 0$  then  $f$  has a local minimum.

$f''(p) < 0$  then  $f$  has a local maximum.

$f''(p) = 0$ , the test tells us nothing.

Example 1  $f(x) = x^3 - 9x^2 - 48x + 52$ . Verify that  $f$  has a local maximum at  $x = -2$  and a local minimum at  $x = 8$ .

Soln. First show they are critical points.

$$\begin{aligned} f'(x) &= \frac{d}{dx} (x^3 - 9x^2 - 48x + 52) \\ &= \frac{d}{dx} (x^3) - \frac{d}{dx} (9x^2) - \frac{d}{dx} (48x) + \frac{d}{dx} 52 \\ &= 3x^2 - 18x - 48 \end{aligned}$$

$$\begin{aligned} f'(-2) &= 3(-2)^2 - 18(-2) - 48 \\ &= 12 + 36 - 48 \\ &= 0 \end{aligned}$$

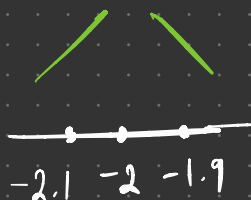
$-2$  is a critical point.

$$\begin{aligned} f'(8) &= 3(8)^2 - 18(8) - 48 \\ &= 3 \cdot 64 - 18 \cdot 8 - 48 = \\ &= 0 \end{aligned}$$

$8$  is a critical point.

Want:  $-2$  is a local maximum

### First derivative test



$$\begin{aligned}f'(-2.1) &= 3(-2.1)^2 - 18(-2.1) - 48 \\ &= 3.03 > 0\end{aligned}$$

$$\begin{aligned}f'(-1.9) &= 3(-1.9)^2 - 18(-1.9) - 48 \\ &= -2.97 < 0\end{aligned}$$

Thus,  $-2$  is a local maximum.

### Second derivative test

$$f'(x) = 3x^2 - 18x - 48$$

$$f''(x) = 6x - 18$$

$$f''(-2) = 6(-2) - 18 = -12 - 18 = -30 < 0$$

By the second derivative test,  $-2$  is a local maximum

$x = 8$

Exercise

Verify it is a local minimum.

Example 2 Find the critical points of the function and classify them as local max or local min. or neither.

a)  $g(x) = x e^{-3x}$

Soln.  $g(x) = f(x) h(x)$

where  $f(x) = x$  and  $h(x) = e^{-3x}$

$f'(x) = 1$  and  $h'(x) = -3e^{-3x}$

Derivative of  $e^{Kx} = Ke^{Kx}$

$$g'(x) = f'(x)h(x) + f(x)h'(x)$$

$$= 1 \cdot e^{-3x} + x \cdot (-3e^{-3x})$$

$$= e^{-3x} - 3xe^{-3x}$$

$$= (1 - 3x)e^{-3x}$$

Want: Critical points

Solve  $g'(x) = 0$

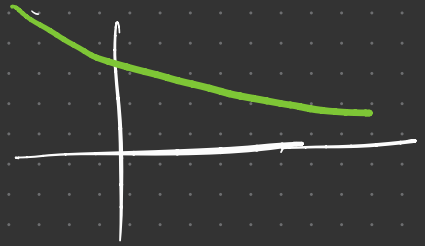
$$(1-3x)e^{-3x} = 0$$

$$\Rightarrow 1-3x = 0$$

Since  $e^{-3x}$  is  
always positive.

Note that

$$e^{-3x} > 0$$

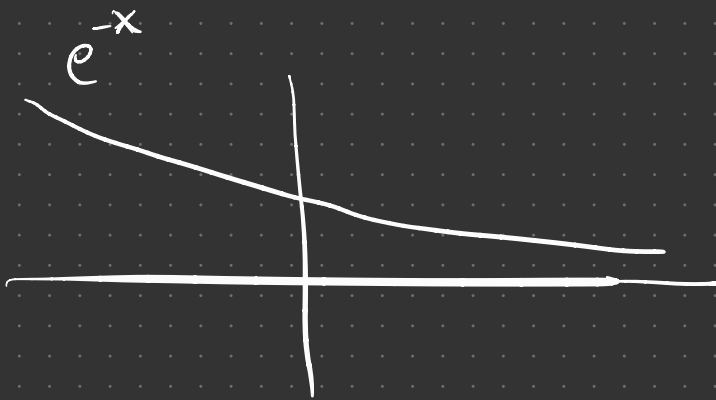
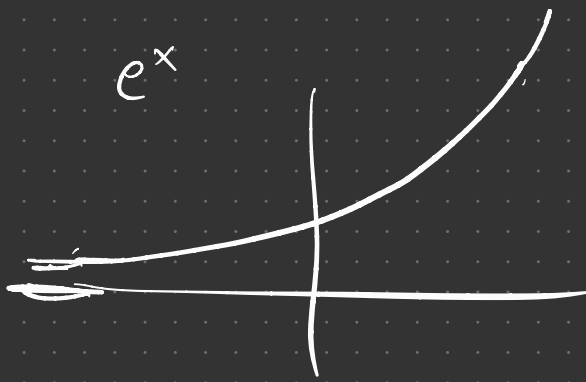


$$\Rightarrow 3x = 1$$

$$\Rightarrow x = \frac{1}{3}$$

First derivative test / Second deriv. test

Exercise.



$e^{-1}$